

SPONSORED RESEARCH AND PROGRAM DEVELOPMENT (SR-PD)

www.rockefeller.edu/sr-pd/

Research Fellowships: Why, What, and How?

Kate Ross
kross@rockefeller.edu
Manager, Outreach and Training
Sponsored Research and Program Development
http://www.rockefeller.edu/sr-pd/?page=Training_Area
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Why Apply for Funding

- Prepare for a career as an independent investigator
- Be an active member of the larger research community and participate in the discovery process
- Secure resources for training & research expenses
- Write up your findings (for future publications)



Pre-Doctoral Sponsored Funding

- Fellowships
- Training Grants
- Dissertation Grants



Where to Apply

- Federal

 - NSF
 - Department of Defense
 - Other federal sponsors as relevant funding programs are made available
- Foundations
- Internal (via Deans Office and Development)



Resources for Finding Funding Opportunities

- SR-PD
 - Predoctoral Fellowship Funding Sources
 - Funding Opportunities Database
 - SR-PD weekly Funding alerts
 - Other <u>Grant Seeking Resources</u> maintained by Program Development
 - Mentor and peers



Opportunities for non-US Citizens

- While some sponsors have citizenship requirements, many opportunities exist for international students
 - Opportunities Restricted to US Citizens/Permanent Residents
 - Opportunities Restricted to Other Citizenship/Nationalities
 - Unrestricted Opportunities
- Review <u>Predoctoral Fellowship Funding Sources</u>

NSF GRFP Application

- Submitted directly by applicant via NSF FastLane
- Application Components:
 - Personal, Relevant Background and Future Goals
 Statement(3 pages)
 - Graduate Research Statement (2 pages)
 - Transcripts, uploaded into FastLane
 - Three letters of reference required
 - Additional info may be required see solicitation

Tips for NSF Fellowships

- Review GRFP announcement NSF <u>15-597</u>
- Address the two Merit Review Criteria
 - Intellectual Merit
 - Strength of Academic Record
 - Research Plan
 - Previous Experience and/or publications
 - Institution
 - Broader Impacts
 - Educational Experiences
 - Integration of research & education
 - Potential to reach diverse audiences and benefit society

Changes to NSF GRFP

- Application Deadlines
 - Life Sciences applications are due 10/26/15
- Reference letter deadline
 - November 5, 2015

NDSEG Fellowship

- National Defense Science and Engineering Graduate
 Fellowship
- Eligibility
 - US citizenship requirement
 - Field of study must fit into one of the supported disciplines –
 Biosciences
 - At or near the beginning of doctoral studies (less than 2 years graduate study)
- Fellowship is for 3 years and covers tuition, fees, and stipend of \$102,000 over 3 years (\$34,000/year)
- Applications due 12/18/15



NIH Kirschstein National Research Service Awards (NRSA)

- F31 Predoctoral
 supports promising doctoral candidates who will be
 performing dissertation research and training in scientific
- F32 Postdoctoral
 supports promising applicants with potential to become
 productive and successful independent research investigators in
 scientific health-related fields
- T32 Institutional Training Grant
 supports institutions with established programs of supervised
 research career development in the fields of biomedical and
 behavioral research



health-related fields

NIH Fellowships Awards Summarized

	F30	F31	F32
Citizenship Eligibility	USA or Permanent Resident	USA or Permanent Resident	USA or Permanent Resident
Length of Project Period	Up to 5 years mentored	Up to 5 years mentored	Up to 3 years mentored
Estimated Range of Annual Direct Costs	\$27,120 plus partial tuition & fees	\$27,120 plus partial tuition & fees	\$50,690 - \$64,226 (varies with years of postdoctoral experience)
Type of Training	MD/PhD students	PhD students (or equivalent)	Post-doctoral
Minimum effort committed to research training program	100%	100%	100%
Letters required	Mentor plus 3 referees	Mentor plus 3 referees	Mentor plus 3 referees
Participating NIH Institutes (check PA for listing as not all ICs participate)	<u>PA-14-150</u>	<u>PA-14-147</u>	<u>PA-14-149</u>



Tips for NIH Training Fellowships

- Require 100% commitment towards research training
- Recognized/experienced mentor(s) is advised
- Training plan must be clear, focused, as well as exciting and practical
- Biosketches should reflect the potential of the candidate and the qualifications of the mentor
- Highlight coursework and professional work directly related to your research topic
- Review relevant <u>Guidelines for Reviewers</u> and Review Criteria in FOA



American Heart Association

- No citizenship requirements (must have approved Visa)
- Objective: to initiate careers in cardiovascular and stroke research
- Founders Affiliate 1/15/16 deadline
- You should be done with coursework and devoting 100% effort to research at time of award activation (July 1)
- \$22,950 stipend plus \$1,000 for health insurance and \$2,000 for project costs (supplies, travel, etc.) for two yrs
- Review Criteria: Trainee potential; Sponsor/Training Plan and Environment; Evaluation of Research Proposal



Tips for All Fellowships

- Follow guidelines to the letter!
 - Some sponsors are especially interested in more novel/innovative projects
 - Many are focused on applicant's potential and track record (transcript, publications, etc.)
 - Many also ask for mentor's track record and institutional environment
 - Always keep the review criteria in mind!

Additional Elements

- Provide easy to read, carefully proofed, logical, succinct and coherent narrative.
- Meet format requirements:
 - Font type and size
 - Margins
 - Other as needed
- Never exceed the sponsor's page limit



Timeframe of Grant-Seeking Process

The grant-seeking process is long and could take 12 months or even longer from initial planning, identifying relevant opportunities, writing applications, submitting, going through the review process, to award making and start date!

Grants Process at a Glance
Standard Due Dates for Competing Applications



Steps To Beginning Your Proposal

- Start early! 6-9 months before deadline is appropriate
- Review the funding program you deem relevant
- When available, review recently <u>funded proposals</u>
- Find out <u>success rate</u> at target agency/institute
- Discuss your research/proposal with your mentor
- Contact the Program Official/Director
- Secure reference letters
- Register with sponsor
- Work with your <u>Grants Management Specialist</u>



Summary of Key Resources

- Mentor
- Peers
- RU <u>Sponsored Research and Program Development</u>
 - Forms and format
 - Budget (e.g personnel effort & costs, indirect costs)
 - Boilerplates
 - Your Grants Management Specialist
 - Website
 - NIH Fellowship Checklist
- Sponsor's website
- NIH
 - RePORT
 - Program Official
 - Office of Extramural Research



Preparing the Proposal

- Review scope of the funding opportunity announcement
- Make note of the deadline
- Verify eligibility with your <u>Grants Management Specialist</u>
- Get your Mentor's consent
- Read closely the guidelines/instructions including special submission requirements
- Design your outline and research plan according to the sponsor's instructions
- Discuss training and research plan with your mentor
- Fully address the review criteria in the order presented by the sponsor



NIH Peer Review: Scored Criteria

- 1. Fellowship Applicant
- 2. Sponsors, Collaborators, Consultants
- 3. Research Training Plan
- 4. Training Potential
- 5. Institutional Environment and Commitment to Training



NIH Review: Compliance Areas

- 1. Protections for human subjects
- 2. Inclusion of women, minorities, and children
- 3. Vertebrate animals
- 4. Biohazards
- 5. Training in the Responsible Conduct of Research
- 6. Resource Sharing Plans



Submission Process

- Complete University <u>Routing Form</u>
- For full review and institutional sign-off, submit complete packet to SR-PD at least 5 business days before sponsor's deadline
- If paper submission: after institutional sign-off, send the required # of copies and forward a final copy to SR-PD
- If electronic submission: final copy will be submitted by Authorized Organizational Representative (AOR)
- Visit SR-PD's <u>Application Review Requirements</u> for more information



Electronic Submission

- Most competing grant applications to NIH require electronic submission
 - All NIH fellowships must be submitted electronically
- At RU, NIH grants are submitted via <u>InfoEd</u>
 - Contact your GMS well before submission to find out about InfoEd training
 - InfoEd FAQs



Post-submission

- Receive confirmation of receipt and assignment
- Note sponsor's decision date
- Receive summary statement
- If fundable score: submit "Just-in-Time" information and address any pending issues on your application; resolve any overlap of science and effort
- If low score or unscored: evaluate the reviewers' comments; speak with your Program Official; prepare resubmission and work with your GMS



Award Management Contacts

For pre-award and post-award issues, Contact Us!



Helpful References

- Sponsored Research and Program Development
- New Investigators
- Center for Scientific Review
- NIH "Writing Your Application"
- InfoEd
- "Research Proposals: A Guide to Success" 3rd Edition



Questions and Suggestions

