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# Research Administration Forum

Sponsored Research and  
Program Development

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October 15, 2009

(updated 10/29/2009)

# Welcome

Introduction, Marta Torruella, Assoc. Director



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# AGENDA

- Submissions for July, August, September – Marta
- ARRA Awards Update – Robert
- 1<sup>st</sup> Quarter ARRA Reports Update – Cindy
- New requirements for NIH Progress Reports starting n October, 2009 – Kate
- New NIH and NSF submission requirements starting January, 2010 – Fana



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# ARRA Awards Update-1

- Submissions – 118 applications of various types were submitted.
- Awarded – 37 total awarded to date including 9 standard submission applications converted to ARRA.
- Pre-Award – 2 additional expected for now.
- Additional ARRA funding opportunities may be offered.



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# ARRA Awards Update-2

- Of the 39 RU RC1 applications, 4 were funded bringing in a total of \$3,227,743
- Of the 45 RU Admin Supplement applications, 14 were funded bringing in a total of \$3,821,149
- Majority of RU ARRA awards are Admin Supplements



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# ARRA Awards Update-3



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## University ARRA Awards (Total Direct & Indirect Costs)

Award Mechanism	Challenge NIH / RC1	GO NIH / RC2	Admin Supp. NIH	Admin Supp. DOE	Comp Rev. NIH	Summer Supp. NIH	Exploratory NIH / R21	Modified 2 Yr. NIH	Innovator NIH / DP2	Totals
Number of Awards	4	3	14	1	1	6	1	8	1	39*
Initial Period	\$1,604,907	\$4,086,614	\$2,207,467	\$110,539	\$424,241	\$38,447	\$211,250	\$4,031,728	\$2,535,000	<b>\$15,250,193</b>
Project Period	\$3,227,743	\$8,170,948	\$3,821,149	\$110,539	\$492,601	\$38,447	\$464,750	\$7,237,973	\$2,535,000	<b>\$26,099,150</b>

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# ARRA Quarterly Reporting

## 1<sup>st</sup> Quarter

- 37 Quarterly Reports (reporting through 9/30) submitted to [Federalreporting.gov](http://Federalreporting.gov) before the 10/10 deadline.
- Reports sent to PIs and lab administrators 10/12 for review.
- Review and correction period 10/11-10/21.
- Starting 10/21 ARRA Oversight Board will start its review of the reports and will make their determination whether they are compliant.
- Reports are finalized on 10/30 and information from the reports will be available to the public on Recovery.gov.
- Reporting procedures still evolving.



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# New Forms for NIH Noncompeting Continuation Progress Reports

- Required for all annual progress reports due on or after **October 1, 2009.**
- [NIH Notice NOT-OD-09-139](#)
- [Form Pages and Instructions](#)



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# Summary of Significant NIH Progress Report Changes

- New All Personnel Report
  - Collects information on all personnel who participate in the project for at least one person month or more
  - Postdocs must have an eRA Commons user ID
- Changes to the Biographical Sketch
  - Addition of a Personal Statement
  - Applicants are encouraged to limit publications to no more than 15



# Additional Changes

- Inclusion of Changes to Innovative Potential
  - If applicable, address any changes to the innovative potential of the project
  - Include in Studies and Results section of progress report
- New Assurance for Institutions Receiving Awards for Training of Graduate Students for Doctoral Degrees
  - For institutional training grants
- Human Embryonic Stem Cells (hESCs)
  - To note if proposed research involving hESCs is different from that proposed in the previous submission, including use of a different cell line



# Summary of NIH Progress Report Changes

## Effective Date: October 1, 2009

eSNAP Component	New Policy	Former Policy	Action Required	Reference/Form
All Personnel Report	List all personnel on the project committing at least one person month or more.	List only Key Personnel in Senior/Key Personnel Report.	<b>Required to obtain and list effort data for all personnel.</b>	PHS2590: Page 22 Form Page 7 (revised)
	All personnel with a postdoctoral role on the project must have their eRA Commons username included in the All Personnel Report.	List the eRA Commons Username in the Senior/Key Personnel Report for the PI Only.	<b>Required to list eRA Commons usernames for all postdoctoral personnel. If Commons Username does not exist, it must be created.</b>	PHS2590: Page 22 Form Page 7 (revised)
Biographical Sketch ( <i>new personnel only</i> )	All NEW Senior/Key Personnel on a project must now include a Personal Statement.	n/a	<b>Must add new section A to biosketch for the Personal Statement.</b>	PHS2590: Page 14 Biosketch Form
	NEW Personnel are encouraged to limit the list of publications and manuscripts to no more than 15.	List all relevant publications.	<b>Encourage any personnel who list more than 15 publications on their biosketch to reduce the amount to 15 or less.</b>	PHS2590: Page 14 Biosketch Form
Progress Report Summary	If appropriate, PIs are asked to address any changes to the innovative potential of the project.	n/a	<b>The statement should be provided in Section B (Studies and Results) of the Progress Report Summary.</b>	PHS2590: Page 15 Form Page 5
Human Embryonic Stem Cells (hESCs)	PIs must note if the proposed research involving hESCs has changed from the proposed in the previous submission, including the use of a different cell line.	n/a	<b>Statement must be added under Part D (Plans) as Sub-Item E (Human Embryonic Stem Cell Lines).</b>	PHS2590: Page 16 Form Page 5
New Assurance for Institutions Receiving Awards for Training of Graduate Students for Doctoral Degrees	Training grants and various cooperative agreements now require information on completion rates and time to degree must be provided in the new Program Statistics Section of table 12A.	n/a	<b>Must add data about completion rates and time to degree in the new Program Statistics section of table 12A. This impacts D43, TU2, T15, T32, T37, T90, U2R, U90, and U54/TL1 mechanisms.</b>	PHS398: Page 117 NOT-OD-09-141 2590 Data Table 12A (revised)



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# New Forms For NIH Competitive Submissions **as of January 25, 2010**

- NIH Notice [NOT-OD-09-149](#)
- [Detail of Application Changes](#)
- [Overall Peer Review Changes](#)



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# Summary of Significant NIH Changes

Current Research Plan	New Research Plan
1. Introduction to Application (Resubmission or Revision Applications only) <b>3 Pages</b>	1. Introduction to Application (Resubmission or Revision Applications only) <b>1 Page</b>
2. Specific Aims <b>1 Page (recommended, included in 25 pages)</b>	2. Specific Aims <b>1 Page (required, not included in 12 pages)</b>
3. Background and Significance	3. Research Strategy a. Significance b. Innovation c. Approach  Preliminary Studies for New Applications  Progress Report for Renewal/Revision Applications
4. Preliminary Studies/Progress Report	
5. Research Design and Methods	
<b>25 pages total (including specific aims) for Research Plan</b>	<b>13 pages total (including specific aims) for Research Plan</b>

Resources - requires a description of how the scientific environment will contribute to the probability of success of the project

Biosketch-

Addition of a Personal Statement

Applicants are encouraged to limit publications to no more than 15



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# New: NSF Proposal and Award Policies and Procedures Guide **as of January 4, 2010**

- [General Proposal Guide \(GPG\) Summary of Changes](#)
- [Award and Administrative Guide \(AAG\) Summary of Changes](#)
- [Proposal and Award Policies and Procedures \(PAPP\) Guide](#)



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# QUESTIONS?



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