Research Administration Forum IX

Sponsored Research and Program Development
www.rockefeller.edu/sr-pd
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Agenda

- Overview and Introductions, M. Torruella
- Selected NIH Policy Updates, R. Kelley
- Selected NSF Policy Updates, M. Mathur
- Responsible Conduct in Research, K. Ross
- K Award Checklist, K. Ross
- InfoEd Reporting Tool, P. Hsia
- Questions & Answers

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Review of Selected NIH Policy Changes

Selected NIH Policy Changes (since 09/10)

- **Error Correction Window Eliminated**: Applicants may submit a corrected application only PRIOR to the due date. Effective for due dates on or after January 25, 2011. NOT-OD-10-123 and SR-PD Tip [November 9, 2010]

- **Reference Letters for Ks and Fs Due by Receipt Date**: Prior to this policy change, reference letters for NRSA Fellowships (Fs) and Career Development (Ks) awards were due 5 business days AFTER the application receipt date. Effective for F applications intended for April 8, 2011 and subsequent due dates and for K applications intended for June 12, 2011 and subsequent due dates. NOT-OD-11-036

Selected NIH Policy Changes cont'd

- **New ADOBE-FORMS-B1 Packages**: B1 forms are mandatory for Fs, Ks, and Institutional Training/Career Development (Ts, Ds) programs (as of January 25, 2011) and will become mandatory for all programs (including Rs) for deadlines after May 7. NOT-OD-11-007 and SR-PD Tip [January 20, 2011]

- **New Limitations on Post-Submission Materials**: Permissible materials include: news of an article accepted for publication (NOT a copy of the article), revised budget pages, biosketches and/or letters of support/collaboration resulting from a change in key personnel. Effective September 25, 2010. NOT-OD-10-115 and SR-PD Tip [May 26, 2010]

Selected NIH Policy Changes cont'd

- **New Time Limit on Resubmission Applications**: The NIH will not accept a resubmission that is submitted later than 37 months after the receipt date of the initial application. Effective for resubmissions with a January 25, 2011 receipt date and thereafter. NOT-OD-10-140 and SR-PD Tip [October 13, 2010]

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Review of Selected NSF Policy Changes

NSF Grant Proposal Guide (GPG) NSF 11-1

- **Data Management Plan Required**: The plan must address how the PI will disseminate and share research results and/or the products of research. See SR-PD's NSF Data Management Plan Boilerplate

- **Revised Cost Sharing Policy**: Specifying person-months but requesting no salary on the budget constitutes voluntary committed cost sharing and is not allowed unless otherwise specified. See NSF Cost Sharing FAQs

- **Project Performance Site**: If the project will be performed at a site other than the awardee's location, information for that site, including its 9-digit zip code, is now required. See GPG Chapter II.C.2.g

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Responsible Conduct of Research (RCR)

RCR – What is it?

- NIH definition – responsible conduct of research is the practice of scientific investigation with integrity. It involves the awareness and application of established professional norms and ethical principles in the performance of all activities related to scientific research.

- NSF definition – the NSF considers responsible and ethical conduct of research (RCR) as critical for excellence, as well as public trust, in science and engineering. Consequently, education in RCR is considered essential in the preparation of future scientists and engineers.

RCR Requirements - NIH

- All trainees, fellows, participants, and scholars receiving support through any NIH training, career development award, research education grant, and dissertation grant must receive instruction in RCR. NOT-OD-10-019
  - Applies to F, K, and T mechanisms

- **Application Procedure**
  - New applications must include a section on instruction in RCR, appropriate to the career stage of the applicant.

RCR Requirements - NSF

- An institution must have a plan in place to provide appropriate training and oversight in the responsible and ethical conduct of research to undergraduates, graduate students, and postdoctoral researchers who will be supported by NSF to conduct research. <u>NSF RCR Policy</u>

- **Application Procedure**
  - Currently, no RCR section is included in NSF applications.
  - When submitting an NSF grant, we are certifying that the institution has a plan to provide appropriate training and oversight in the responsible and ethical conduct of research to undergraduates, graduate students, and postdoctoral researchers who will be supported by NSF to conduct research.

RCR at RU

- At RU, the RCR training is overseen by the <u>Dean's Office</u>.

- RU, WCMC, and MSKCC collaborate to provide joint formal training in the responsible and ethical conduct of research through a <u>Tri-Institutional Responsible Conduct of Research Course</u>.

- Applicants applying for funding subject to the RCR requirement may use the SR-PD <u>RCR boilerplate</u> in their applications

- Be sure to indicate RCR on Routing Form, if applicable!

K Award Checklist

New Resources for K applicants

- **K Award Checklist**
- **Designed to assist in preparing NIH Career Development Awards (K mechanisms)**

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InfoEd Reporting Tool

This new function offers Principal Investigator the ability to view and create reports of their submissions and awards in InfoEd. In addition to pre-set reports –

- Current Awards
- Pending Submissions
- Expiring Awards (within one year of the award end date)
- Users can create their own customized reports by using unique criteria and layouts most relevant to their needs.

InfoEd Reporting Tool instructions are now available, and training is offered as well.

Questions?