

COLLEGES AND UNIVERSITIES RATE AGREEMENT

EIN: 131624158
ORGANIZATION:
Rockefeller University
1230 York Avenue,
New York, NY 10021-6399

Date: 05/11/2026
FILING REF: The preceding agreement
was dated 02/13/2025

The rates approved in this agreement are for use on grants, contracts, and other agreements with the Federal Government, subject to the conditions in Section III.

SECTION I: Facilities And Administrative Cost Rates

RATE TYPES: FIXED FINAL PROV.(PROVISIONAL) PRED.(PREDETERMINED)

TYPE	EFFECTIVE PERIOD		RATE(%)	LOCATION APPLICABLE TO
	FROM	TO		
PRED.	07/01/2024	06/30/2027	69.50	On-Campus Research
PRED.	07/01/2024	06/30/2027	26.00	Off-Campus Research
PRED.	07/01/2024	06/30/2025	42.00	On-Campus Other Sponsored Activities
PRED.	07/01/2025	06/30/2026	43.00	On-Campus Other Sponsored Activities
PRED.	07/01/2026	06/30/2027	44.00	On-Campus Other Sponsored Activities
PRED.	07/01/2024	06/30/2027	26.00	Off-Campus Other Sponsored Activities
PROV.	07/01/2027	Until Amended		Use same rates and conditions as those cited for fiscal year ending June 30, 2027.

***BASE**

Modified total direct costs, consisting of all direct salaries and wages, applicable fringe benefits, materials and supplies, services, travel and up to the first \$25,000 of each subaward (regardless of the period of performance of the subawards under the award). Modified total direct costs shall exclude equipment, capital expenditures, charges for patient care, rental costs, tuition remission, scholarships and fellowships, participant support costs and the portion of each subaward in excess of \$25,000. Other items may only be excluded when necessary to avoid a serious inequity in the distribution of indirect costs, and with the approval of the cognizant agency for indirect costs.

SECTION I: FRINGE BENEFIT RATES**

<u>TYPE</u>	<u>FROM</u>	<u>TO</u>	<u>RATE(%)</u>	<u>LOCATION</u>	<u>APPLICABLE TO</u>
FIXED	07/01/2024	06/30/2025	45.60	All	All Employees
FIXED	07/01/2025	06/30/2026	41.00	All	All Employees
FIXED	07/01/2026	06/30/2027	46.50	All	All Employees
PROV.	07/01/2027	Until Amended			Use same rates and conditions as those cited for June 30. 2027.

** DESCRIPTION OF FRINGE BENEFITS RATE BASE:

Salaries and wages.

SECTION II: SPECIAL REMARKS

TREATMENT OF FRINGE BENEFITS:

The fringe benefits are charged using the rate(s) listed in the Fringe Benefits Section of this Agreement. The fringe benefits included in the rate(s) are listed below.

TREATMENT OF PAID ABSENCES:

Vacation, holiday, sick leave pay and other paid absences are included in salaries and wages and are claimed on grants, contracts and other agreements as part of the normal cost for salaries and wages. Separate claims are not made for the cost of these paid absences.

The following fringe benefit costs are reimbursed to the grantee through the direct fringe benefit rate: Social Security taxes (FICA), unemployment insurance, workers' compensation, health and dental insurance, disability insurance, group life insurance, tuition aid / reimbursement, employees' health service, employees' assistance program travel insurance, adoption reimbursement program, Children's School retirees health and dental coverage, and pension/annuities.

All activities conducted in facilities not owned by the Institution are considered off-campus.

Equipment means tangible personal property (including information technology systems) having a useful life of more than one year and a per-unit acquisition cost of \$5,000.

This rate agreement updates the Fringe Benefit Rates section only.

Your next F&A cost rate proposal, based on actual costs for the fiscal year ending 6/30/2026, is due to our office by 12/31/2026. Your next fringe benefit rate proposal, based on actual costs for the fiscal year ended 6/30/2026 is also due to our office by 12/31/2026.

SECTION III: GENERAL

A. LIMITATIONS:

The rates in this Agreement are subject to any statutory or administrative limitations and apply to a given grant, contract or other agreement only to the extent that funds are available. Acceptance of the rates is subject to the following conditions: (1) Only costs incurred by the organization were included in its indirect cost pool as finally accepted: such costs are legal obligations of the organization and are allowable under the governing cost principles; (2) The same costs that have been treated as indirect costs are not claimed as direct costs; (3) Similar types of costs have been accorded consistent accounting treatment; and (4) The information provided by the organization which was used to establish the rates is not later found to be materially incomplete or inaccurate by the Federal Government. In such situations the rate(s) would be subject to renegotiation at the discretion of the Federal Government.

B. ACCOUNTING CHANGES:

This Agreement is based on the accounting system purported by the organization to be in effect during the Agreement period. Changes to the method of accounting for costs which affect the amount of reimbursement resulting from the use of this Agreement require prior approval of the authorized representative of the cognizant agency. Such changes include, but are not limited to, changes in the charging of a particular type of cost from indirect to direct. Failure to obtain approval may result in cost disallowances.

C. FIXED RATES:

If a fixed rate is in this Agreement, it is based on an estimate of the costs for the period covered by the rate. When the actual costs for this period are determined, an adjustment will be made to a rate of a future year(s) to compensate for the difference between the costs used to establish the fixed rate and actual costs.

D. USER BY OTHER FEDERAL AGENCIES:

The rates in this Agreement were approved in accordance with the authority in Title 2 of the Code of Federal Regulations, Part 200 (2 CFR 200), and should be applied to grants, contracts and other agreements covered by 2 CFR 200, subject to any limitations in A above. The organization may provide copies of the Agreement to other Federal Agencies to give them early notification of the Agreement.

E. OTHER:

If any Federal contract, grant or other agreement is reimbursing indirect costs by a means other than the approved rate(s) in this Agreement, the organization should (1) credit such costs to the affected programs, and (2) apply the approved rate(s) to the appropriate base to identify the proper amount of indirect costs allocable to these programs.

BY THE INSTITUTION

Rockefeller University

(INSTITUTION)

ON BEHALF OF THE GOVERNMENT

DEPARTMENT OF HEALTH AND HUMAN SERVICES

(DEPT/AGENCY)

Signed by:

Romayne L Botti

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(SIGNATURE)

Romayne Botti

(NAME)

Vice President for Finance and Treasurer

(TITLE)

(DATE)

(SIGNATURE)

Olulola Oluborode

(NAME)

Director, Cost Allocation Services

(TITLE)

(DATE)

HHS REPRESENTATIVE: Stephen Hobday

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