NIH Other Support – Updated Requirements

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Other Support: Definition

- NOT-OD-19-114 NIH reminder

"Other Support includes **all** resources made available to a researcher in support of and/or related to **all** of their research endeavors, regardless of whether or not they have monetary value and regardless of whether they are based at the institution the researcher identifies for the current grant."

This includes:

- Active, Pending, and Completed within the past three years
    - Including institutional and pilot awards
- Consulting related to research
- Appointments and Affiliations with other organizations
- In-kind resources, including externally-paid researchers or scholars

- Note that while the new format and certification are not required until January, 2022, the **information** is required now, under all formats.

- Additionally, NIH may require use of the new format now for Just-in-Time requests.

What you Currently Disclose – Active & Pending Awards

BENNETT, P.

<u>ACTIVE</u>

Investigator Award (Bennett)   9/1/2015 – 8/31/2020   6.0 calendar
Howard Hughes Medical Institute $581,317
Gene Cloning and Targeting for Neurological Disease Genes
This award supports the PI's program to map and clone the gene(s) implicated in the development of
Alzheimer's disease and to target expression of the cloned gene(s) to relevant cells.

5 R01 HG 000000-07 (Daumier)   3/1/2009 – 2/28/2018   3.6 calendar
NIH/NHGRI $196,639
Identification of the Risk Factor Genes for Alzheimer's Disease

The major goals of this project are to identify of new Alzheimer's disease genes and predicting Alzheimer's
disease.

(THIS AWARD)
2 R01 HL 000000-14 (Anderson)   3/1/2003 – 2/28/2018   1.2 calendar
NIH/NHLBI $186,529
Chloride and Sodium Transport in Airway Epithelial Cells

<u>OVERLAP</u> No Overlap

Current Requirements

Item	Include in JIT/RPPR	Include in Competing Proposal Sections		
	Other Support	Facilities and Resources	Biosketch or Current Support	Budget Justification
Active and Pending awards	*		*	
Institutional (internal) and pilot awards	*		*	
Consulting and outside professional activities	*		*	
Appointments and Affiliations	*		*	
In-kind Resources	*	*		*
Externally paid researchers/scholars	*	*		
Other financial support	*			

Reminder: Do not include start-up funds from RU, training grants, gifts, or prizes.

Gift or Grant?

- Per NIH, gifts are "resources provided where there is no expectation of anything (e.g., time, services, specific research activities, money, etc.) in return."

- A grant/sponsored project would be something with a defined scope of work, specific aims or milestones, require formal reporting, and involve specific terms and conditions.

- OSPA can assist with these determinations as needed.

Consulting

Components:

- Summary of consulting work
- Status of support
- Source of support
- Place of Performance
  - (include country if not U.S.)
- Dates of Performance
- Total dollar value

Consulting:

Summary: Reading of approximately 150 CT research scans.

Status of Support: Active

Source of Support: University of Edinburgh, United Kingdom

Place of Performance: New York City, NY

Dates of Performance: 1/1/2021 – 12/31/2021

Total Dollar Value: $30,000

Appointments and Affiliations

Components

- Dates of Appointment/Affiliation
- Position Title and Type
- Department
- Institution/Organization Name
- Institution/Organization Location

Appointments & Affiliations:

| 2021– Present | Associate Professor, full time appointment, Department of Psychology, Washington University, St. Louis, MO |
| 2020 – Present | Adjunct Professor, voluntary appointment, McGill University Department of Psychology, Montreal, Quebec, Canada |

In-kind Resources

Components:

- Summary of support
- Source of support

Examples:

- Financial support for laboratory personnel
  - EX: Institution is supporting postdocs to assist in the investigator's research
- Provision of high-value materials not freely available
  - EX: A collaborator is providing the investigator with samples, data, or mouse lines not otherwise available

Examples, continued:

- Laboratory space, equipment, supplies, students, or other personnel supported by an outside source
  - EX: A student is working in the investigator's lab but is fully supported by their home institution (foreign or domestic)

In-kind resources for use in the project being proposed must be disclosed in the facilities and resources section at the time of application submission. New in-kind resources for use in the project being proposed identified <u>after</u> submission of the application, must be reported in Other Support.

In-kind resources **not intended** for use on the project/proposal being proposed must be reported in Other Support.

If the time commitment or dollar value is not readily ascertainable, reasonable estimates should be provided.

New Other Support Format and Requirements

NOT-OD-21-073 & NOT-OD-21-110

- Same format for JIT and RPPR

- Format reorganization

- Signature block added and <u>required</u>

- Supporting documentation requirements

Required January 25, 2022

NOTE: While the new format is not required until 1/25/2022, NIH <u>strongly recommends</u> using it now, and retains the authority to require the new format prior to that date for JIT.

Future Requirements (as of 1/25/2022)

Item	JIT/RPPR	Include in Competing Proposal		
	Other Support	Facilities & Resources	Biosketch or Current Support	Budget Justification
Active and Pending awards	*		*	
Institutional (internal) and pilot awards	*		*	
Consulting and outside professional activities	*		*	
Appointments and Affiliations	*		*	
In-kind resources	*	*		*
Externally paid researchers/scholars	*	*		
Other financial support	*			

Future Requirements: Signature Block

All Other Support documents must include the below signature block. Each senior/key person must electronically sign their respective document. A signature "image" will not be accepted.

I, PD/PI or other senior/key personnel, certify that the statements herein are true, complete and accurate to the best of my knowledge, and accept the obligation to comply with Public Health Services terms and conditions if a grant is awarded as a result of this application. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties.

*Signature: ___Anderson, R.R._____

Date: _____March 25, 2021_____

Other Support will not be submitted without a signature.

RU is evaluating e-signature platforms for institution-wide use.

OSPA is working on an update to RAS-Grants which will enable users to print an OS template (including signature block) for modifications.

Future Requirements: Supporting Documentation

"For Other Support submissions that include foreign activities and resources, recipients are required to submit <u>copies of contracts, grants or any other agreement</u> specific to senior/key personnel foreign appointments and/or employment with a foreign institution as supporting documentation."

- Applies to all senior/key personnel with an appointment, affiliation, or employment at a foreign institution.
- Provide original documents and English copies
- The English copy will be appended to the investigator's Other Support for submission
- Subaward key personnel from a foreign organization should provide supporting documentation only for those foreign appointments not at their home organization. EX: Dr. Jones at University of Toronto should provide documentation for her appointment at University of Lucerne.

Future Requirements: Proposals

Required new information:
- Status of support
- Primary place of performance
- Total costs for project segment
- Person months for all active and future periods

*	JIT/RPPR	Include in Competing Proposal		
Item	Other Support	Facilities & Resources	Biosketch or Current Support	Budget Justification
Active, pending **and completed** awards	*		*	
Institutional (internal) and pilot awards	*		*	
Consulting and outside professional activities	*		*	
Appointments and Affiliations	*		*	
In-kind resources	*	*		*
Externally paid researchers/scholars	*	*		
Other financial support	*			
Supporting documentation from Sr./Key personnel with foreign appointments/affiliations/employment	*			
eRA Commons ID	*		*	

Future Requirements: Proposals

Active & Pending Projects

- Status of support
- Primary place of performance
- Total award amount for project segment
- Person months for all active and future periods

Completed Projects (past 3 years)

- Status of support
- Primary place of performance

For subprojects, recipients should provide the project number and PD/PI name for the overall project. All other information, including total award amount and person months, for the subproject only.

OMB No. 0925-0001 and 0925-0002 (Rev. 12/2020 Approved Through 02/28/2023)

For New and Renewal Applications – DO NOT SUBMIT UNLESS REQUESTED
PHS 398 OTHER SUPPORT

There is no "form page" for reporting Other Support. Information on Other Support should be provided in the format shown below.

*Name of Individual: Anderson, R.R.
Commons ID: AndersonRR

Other Support – Project/Proposal

ACTIVE

*Title: Chloride and Sodium Transport in Airway Epithelial Cells

Major Goals: The major goals of this project are to define the biochemistry of chloride and sodium transport in airway epithelial cells and clone the gene(s) involved in transport.

*Status of Support: Active

Project Number: 2 R01 HL 00000 - 13

Name of PD/PI: Anderson, R.R.

*Source of Support: NHLBI

*Primary Place of Performance: University of California, Los Angeles

Project/Proposal Start and End Date: (MM/YYYY) (if available): 3/1/2021 – 2/28/2026

* Total Award Amount (including Indirect Costs): $1,492,232

* Person Months (Calendar/Academic/Summer) per budget period.

Year (YYYY)	Person Months (##.##)
1. 2021	3.6 calendar
2. 2022	3.6 calendar
3. 2023	3.6 calendar
4. 2024	3.6 calendar
5. 2025	3.6 calendar

Future Requirements: Proposals

Item	JIT/RPPR	Include in Competing Proposal		
	Other Support	Facilities & Resources	Biosketch or Current Support	Budget Justification
Active, pending **and completed** awards	*		*	
Institutional (internal) and pilot awards	*		*	
Consulting and outside professional activities	*		*	
Appointments and Affiliations	*		*	
In-kind resources	*	*		*
Externally paid researchers/scholars	*	*		
Other financial support	*			
Supporting documentation from Sr./Key personnel with foreign appointments/affiliations/employment	*			
eRA Commons ID	*		*	

Required new information:
Status of support
Primary place of performance
Person months for all active and future periods
Estimated dollar value of in-kind contribution

Future Requirements: In-Kind Contributions

Components

- Summary of contribution, including source

- Status of Support (active or pending)

- Primary place of performance

- Estimated dollar value

- Start and end date

- Person months per budget period

Note: some components may not always be applicable

Name of Individual:
Commons ID:

IN-KIND

*Summary of In-Kind Contribution: Post-doctoral fellow, Dr. John Smith, who conducts research activities in the Anderson lab. Salary supported by Oxford University.

*Status of Support: Active

*Primary Place of Performance: University of California, Los Angeles

Project/Proposal Start and End Date (MM/YYYY) (if available):

*Person Months (Calendar/Academic/Summer) per budget period: N/A

*Estimated Dollar Value of In-Kind Information: $80,000

*Summary of In-Kind Contribution: Cell line XYZ provided by Dr. Jennifer Smith at Cornell University.

*Status of Support: Active

*Primary Place of Performance: University of California, Los Angeles

Project/Proposal Start and End Date (MM/YYYY) (if available):

*Person Months (Calendar/Academic/Summer) per budget period: N/A

*Estimated Dollar Value of In-Kind Information: estimate $1,000

*Summary of In-Kind Contribution: C57BL/6-$ABC1^{tm1jbp}$ mice provided by Dr. Joseph Jones at the University of Texas at Austin.

*Status of Support: Active

*Primary Place of Performance: University of California, Los Angeles

Project/Proposal Start and End Date (MM/YYYY) (if available):

*Person Months (Calendar/Academic/Summer) per budget period: N/A

*Estimated Dollar Value of In-Kind Information: estimate $4,000

Overlap (summarized for each individual):

Failure to Disclose

Consequences imposed by sponsor

- Withdrawal of PI or other contributors from project by sponsor
- Imposing strict terms and conditions
- Disallowing costs
- Withholding future awards
- Suspending or terminating awards

Immediately contact OSPA for resubmission of Other Support

- If you realize that something was not appropriately disclosed, contact us to resubmit.

Err on the side of disclosure!

Summary

- Current reports should include consulting, appointments, in-kind resources, and externally supported students and researchers
- New template required January 25, 2022, but sponsor can request sooner
- All documents must be reviewed by OSPA prior to submission to the sponsor
- New items to remember:
  - Attestation and e-signatures for Other Support
  - Supporting documents for foreign appointments (including English translations)
  - Effort for current <u>and</u> future periods

NIH Other Support website (includes instructions and samples)

NIH Other Support FAQs

NIH Guide Notice: NOT-OD-21-073

NIH Guide Notice: NOT-OD-21-110

OSPA will be collecting additional questions and submitting to NIH as needed. Please contact your SPO or:

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