Research Administration Forum
XVIII

Marta I. Torruella, Associate Director (Acting Director)
Kate Ross, Manager Outreach & Training (Acting Associate Director)
Cindy Fuqua, Sr. Manager- Administrative Post-Award
Maura Gilmartin, Sr. Grants Management Specialist
Mukul Mathur, Sr. Grants Management Specialist
Jim F. Keller, Grants Management Specialist
Joyce Ng, Grants Management Specialist
Betty (Mei-Ki) Chan, Grants Management Specialist

April 22, 2015
Items for Discussion

NIH Notices & Policy Updates
SR-PD Updates
Uniform Guidance
Large Scale Genomic Data Sharing
RPPR – Common Pitfalls
5 page Biosketch
Subgrant Documentation Updates
NIH Notices & Policy Updates
SR-PD Updates

Marta I. Torruella
Associate Director (Acting Director)
Updates

NIH Updates

• New NIH Grants Policy Statement issued March 31, 2015
  o Uniform Guidance
  o Large Scale Genomic Data Sharing

• Recent NIH Notices & Upcoming Policy Changes
  o NOT-OD-15-095 – Reminder of Application Compliance
  o NOT-OD-15-090 – Reporting Publications in RPPRs
  o NOT-OD-15-085 – Reminder of New 5-page Biosketch
  o NOT-OD-15-073 – Subawards Not Active in All Budgets – Correction to SF-424 Application Guide
Updates

SR-PD Updates

- INFOED Upgrade on March 19, 2015
  - Special characters
  - New Look
- New DHHS Agreement issued March 12, 2015
  - New Fringe Rates – see Administrative Data web page
- Individual Development Plans (IDP) - personalization
- Upcoming TCN Event
Uniform Guidance - Information and Updates

Mukul Mathur
Sr. Grants Management Specialist
What is Uniform Guidance?

On December 26, 2013, the Office of Management and Budget (OMB) issued the Uniform Administrative Requirements for Federal Awards. Uniform Guidance became effective as of December 26th, 2014. It governs the management of federally funded sponsored projects across the entire project lifecycle.

The Uniform Guidance supersedes requirements from OMB Circulars A-21, A-110 and A-133 and streamlines the federal government’s guidance on Administrative Requirements, Cost Principles, and Audit Requirements for federal awards.

What PIs Need to Know About the Uniform Guidance?

• NIH’s revised terms and conditions will apply retro to awards or supplement awards that were issued on/after 12/26/2014.

• The new funds awarded and old carryforward funds are managed under the guidance.

• To summarize: if a new increment of funding is awarded on/after December 26, 2014, the new guidance applies to the new money AND to any carryforward funds. If a no cost extension is issued then the old guidance applies.
When preparing the budget for Grants-

• **Direct Costs** - The Uniform Guidance includes revised direct cost principles for federal awards made on or after December 26, 2014.

• **Administrative and clerical salaries** - Should normally be treated as indirect (F&A) costs. Direct charging of these costs **may be appropriate only if all of the following conditions are met**:
  - These services are **essential** to the project’s goals and objectives
  - The individuals can be **specifically identified** with the project
  - These services are **clearly justified or have prior written approval from sponsor**
  - The costs are **not also recovered as indirect (F&A) costs**
Contd.
- The staff must be named and specifically identified (NOT TBD) with that specific project
- So, be sure in your budget justification you list them BY NAME, efforts, and role.
- If you are currently managing a grant and would like to know if it would be allowable to charge clerical salaries, then get approval.
- Without prior approval on currently funding projects, you cannot charge it to your budgets.
  - As time goes by, you will remember to proactively ask for the clerical staff salaries in your budget at the proposal stage. For now, get approval in writing BEFORE you charge to the federal NIH grant.
**Computing devices**- May be included as a direct cost for devices that are essential and allocable, even if the device is not solely dedicated to the work proposed in the Federal grant application.

**Travel**- Under the new Uniform Guidance, IT IS ALLOWABLE to have temporary dependent/child care costs charged to the grant budget if the travel is to a conference and the following conditions have been met:

- The travel is the direct result for the individual’s travel for the federal grant, and
- The travel is short-term or temporary, and
- Costs are consistently applied at your institution with non-federal awards
Conference Costs-
Costs related to a meeting, retreat, seminar, symposium, workshop or event are allowable if the primary purpose is

➢ Dissemination of technical information, and
➢ Are located beyond the confines of Rockefeller University

Costs related to internal meetings are not allowable on Federal grants and contracts.

Note- if an outside speaker attends an internal meeting then the costs related to that meeting are allowable.

Office Supplies- Office supplies are allowable costs to the NIH grant
Indirect Costs

- If an entity doesn’t have a negotiated IDC rate (yet), then you must use 10% MODIFIED TOTAL DIRECT COSTS base.

- NIH will continue to use 8% on the training grants

- Foreign and international awardees still only get 8% indirect cost on MTDC, less equipment
**Federal Closeouts** – New policy applies to all awards that ended on or after 10/1/2014.

- For NIH awards now you have 120 days FROM THE END OF THE PERFORMANCE PERIOD DATE to closeout and submit your final technical report, invention statement, and financial report.

- Any awards prior to the 10/1/2014 end date, follow the old 90 day deadline.

**Publication and Printing Costs, chargeable after award end date**-

Charging of the costs of publication or sharing of research results are now allowable after the end date through closeout of the sponsored project.
Methods of Procurement – Micro vs Small vs Over Threshold

• Will be effective 7/1/2016

The UG identifies that institutions must perform certain bidding and documentation procedures. These regulations are of importance to the research community since it could affect the ultimate choice of vendor.

The RU Procurement Department will bear the responsibility for carrying out these duties. RU to decide how this will be implemented.
NIH Genomic Data Sharing (GDS) Policy

Kate Ross
Manager, Outreach & Training
(Acting Associate Director)
NIH GDS Policy

As of 1/25/15, NIH applicants seeking funding for research that generates large-scale human or non-human genomic data are expected to provide a plan for sharing of these data or an appropriate explanation why data sharing is not possible.
NIH GDS Policy Applies To:

- Research project grants (Rs)
- Program Projects (Ps) and SCORs (Ss)
- Cooperative agreements for research (Us)
- Individual career development awards (Ks) that include a research component
- S activities that include a research component
NIH GDS Policy Does Not Apply To:

• Institutional Training Grants
• KL2 career development awards
• Individual Fellowships (Fs)
• Resource grants and contracts (Ss)
At Submission Time

• Contact Program Official early to discuss data sharing expectations
• State in **cover letter** that the studies proposed will generate large scale genomic data
• Include a **genomic data sharing plan** in the application
• Include any resources needed to support the plan in the project’s budget
GDS Resources

- Examples of genomic research projects that are subject to the policy are available in the [Supplemental Information to the Genomic Data Sharing Policy](#).
- [NOT-OD-14-124](#) NIH Genomic Data Sharing Policy
- [NOT-OD-14-111](#) Implementation of the NIH GDS for NIH Grant Applications and Awards
- RU’s [GDS Policy](#) page
Research Performance Progress Report (RPPR)

Kate Ross, CRA
Research Performance Progress Report (RPPR)

• Specific Sections of RPPR:
  – B.4. Training and Professional Development
  – C.1. Publications
  – D.1. Participant Data

• Subcontracts for RPPRs
B.4 What opportunities for training and professional development has the project provided?

If the research is not intended to provide training and professional development opportunities or there is nothing significant to report during the reporting period, select Nothing to Report.

Describe opportunities for training and professional development provided to anyone who worked on the project or anyone who was involved in the activities supported by the project. Training activities are those in which individuals with advanced professional skills and experience assist others in attaining greater proficiency. Training activities may include, for example, courses or one-on-one work with a mentor. Professional development activities result in increased knowledge or skill in one’s area of expertise and may include workshops, conferences, seminars, study groups, and individual study. Include participation in conferences, workshops, and seminars not listed under major activities.

For all projects reporting graduate students and/or postdoctoral participants in Section D., describe whether your institution has established Individual Development Plans (IDPs) for those participants. Do not include the actual IDP, instead include information to describe how IDPs are used, if they are used, to help manage the training for those individuals. This information is not required for AHRQ grantees.
B.4 What opportunities for training and professional development has the project provided?

If the research is not intended to provide training and professional development opportunities or there is nothing significant to report during the reporting period, select **Nothing to Report.**

Describe opportunities for training and professional development provided to anyone who worked on the project or anyone who was involved in the activities supported by the project. *Training* activities are those in which individuals with advanced professional skills and experience assist others in attaining greater proficiency. Training activities may include, for example, courses or one-on-one work with a mentor. *Professional development* activities result in increased knowledge or skill in one’s area of expertise and may include workshops, conferences, seminars, study groups, and individual study. Include participation in conferences, workshops, and seminars not listed under major activities.

For all projects reporting graduate students and/or postdoctoral participants in Section D., describe whether your institution has established Individual Development Plans (IDPs) for those participants. Do not include the actual IDP, instead include information to describe how IDPs are used, if they are used, to help manage the training for those individuals. **This information is not required for AHRQ grantees.**
RPPR: B.4. Training and Professional Development

**B.4 What opportunities for training and professional development has the project provided?**

If the research is not intended to provide training and professional development opportunities or there is nothing significant to report during the reporting period, select *Nothing to Report.*

Describe opportunities for training and professional development provided to anyone who worked on the project or anyone who was involved in the activities supported by the project. *Training* activities are those in which individuals with advanced professional skills and experience assist others in attaining greater proficiency. Training activities may include, for example, courses or one-on-one work with a mentor. *Professional development* activities result in increased knowledge or skill in one’s area of expertise and may include workshops, conferences, seminars, study groups, and individual study. Include participation in conferences, workshops, and seminars not listed under major activities.

For all projects reporting graduate students and/or postdoctoral participants in Section D., describe whether your institution has established Individual Development Plans (IDPs) for those participants. Do not include the actual IDP, instead include information to describe how IDPs are used, if they are used, to help manage the training for those individuals.

*This information is not required for AHRQ grantees.*
RPPR: B.4. Training and Professional Development

See boilerplate on SR-PD site.

– Advisable to tailor to lab’s practices.
RPPR: C.1. Publications

C. Products

To see all of your publications from MyNCBI and to associate those with this RPPR, the answer to the Products question should be "Yes".

Associate with this RPPR

Publications

If yes, select from the table below to affiliate publications with this progress report.

No items found.

All publications associated with this project in MyNCBI

Publications not associated with this project in MyNCBI

9 items found, displaying all items

Sort Table Above By

Date Of Publication

Then By

Author

C2. Websites(s) or other Internet site(s)

List the URL for any Internet site(s) that disseminates the results of the research activities. A short description of each site should be provided. It is not necessary to include the publications already specified above.

For awards not designed to create or maintain one or more websites select "Nothing to Report". A description is only required for awards designed to create or maintain one or more websites. Limit response to this reporting period.

Nothing to Report

or List URL(s) for Internet site(s) and provide description(s) below (NIH recommended length is up to 1 page. Limit is 8000 characters or approximately 3 pages)

Total remaining allowed limit is 8990 characters.
RPPR: C.1. Publications

• If RPPR is submitted with a non-compliant publication
  – Automated email generated
  – Compliance is required by least two weeks prior to next budget period
  – Can respond via PRAM (Progress Report Additional Materials) or in an email to the GMS.
  – NIH will delay processing of an award if publications are not in compliance (for start dates July 1, 2013 or beyond)
RPPR: C.1. Publications

The system will allow the RPPR to be submitted with non-compliant publications. However, the system will provide a Warning message, and following submission to the agency the PD/PI will receive an automated email requiring verification that all publications are in compliance with the Public Access Policy no later than two weeks prior to the start date of the next budget period. ... The SO may respond either by using the new PRAM link on the eRA Commons Status page (see PRAM below), or in an email to the Grants Management Specialist.”

*Per RPPR FAQs:*
http://grants.nih.gov/grants/RPPR/faqs.htm
D.1 What individuals have worked on the project?

Provide or update the information for: (1) program director(s)/principal investigator(s) (PDs/PIs); and (2) each person who has worked at least one person month per year on the project during the reporting period, regardless of the source of compensation (a person month equals approximately 160 hours or 8.3% of annualized effort).

Provide the name and identify the role the person played in the project. Indicate the nearest whole person month (Calendar, Academic, Summer) that the individual worked on the project. Show the most senior role in which the person has worked on the project for any significant length of time. For example, if an undergraduate student graduates, enters graduate school, and continues to work on the project, show that person as a graduate student.
D.1 What individuals have worked on the project?

Provide or update the information for: (1) program director(s)/principal investigator(s) (PDs/PIs); and (2) each person who has worked at least one person month per year on the project during the reporting period, regardless of the source of compensation (a person month equals approximately 160 hours or 8.3% of annualized effort).

Provide the name and identify the role the person played in the project. Indicate the nearest whole person month (Calendar, Academic, Summer) that the individual worked on the project. Show the most senior role in which the person has worked on the project for any significant length of time. For example, if an undergraduate student graduates, enters graduate school, and continues to work on the project, show that person as a graduate student.
D.1 What individuals have worked on the project?

Provide or update the information for: (1) program director(s)/principal investigator(s) (PDs/PIs); and (2) each person who has worked at least one person month per year on the project during the reporting period, regardless of the source of compensation (a person month equals approximately 160 hours or 8.3% of annualized effort).

Provide the name and identify the role the person played in the project. Indicate the nearest whole person month (Calendar, Academic, Summer) that the individual worked on the project. Show the most senior role in which the person has worked on the project for any significant length of time. For example, if an undergraduate student graduates, enters graduate school, and continues to work on the project, show that person as a graduate student.
D. Participants

Tips & Notes:

THE FOLLOWING DOES NOT APPLY TO FELLOWSHIPS:

For NIH awards, Commons IDs are now required for individuals with the Undergraduate, Graduate Student, and Postdoctoral roles.

Additionally, individuals with these roles on a project are required to complete the following fields in the Commons Personal Profile: Date of Birth, Gender, Ethnicity and Race, Disability, and Citizenship Status. For the Gender, Race and Ethnicity, and Disability fields, one of the acceptable responses is ‘Do not wish to provide’. Individuals with a Graduate Student role must enter at least one degree, and those with a Postdoctoral role must enter a doctoral degree. The profile must also include the name of institution issuing the degree.
RPPR: D. Participants

Tips & Notes:

THE FOLLOWING DOES NOT APPLY TO FELLOWSHIPS:

For NIH awards, Commons IDs are now required for individuals with the Undergraduate, Graduate Student, and Postdoctoral roles.

Additionally, individuals with these roles on a project are required to complete the following fields in the Commons Personal Profile: Date of Birth, Gender, Ethnicity and Race, Disability, and Citizenship Status. For the Gender, Race and Ethnicity, and Disability fields, one of the acceptable responses is ‘Do not wish to provide’. Individuals with a Graduate Student role must enter at least one degree, and those with a Postdoctoral role must enter a doctoral degree. The profile must also include the name of institution issuing the degree.
RPPR: D. Participants

**What if a trainee has since left our organization and does not have a Commons ID?** In this situation, report the post doc in Section D. - Participants, but select "Other" as the role and enter "postdoc who left institution without Commons ID."

*Per RPPR FAQs:*
http://grants.nih.gov/grants/RPPR/faq.htm
RPPR: D. Participants

Tips & Notes:

THE FOLLOWING DOES NOT APPLY TO FELLOWSHIPS:

For NIH awards, Commons IDs are now required for individuals with the Undergraduate, Graduate Student, and Postdoctoral roles.

Additionally, individuals with these roles on a project are required to complete the following fields in the Commons Personal Profile: Date of Birth, Gender, Ethnicity and Race, Disability, and Citizenship Status. For the Gender, Race and Ethnicity, and Disability fields, one of the acceptable responses is 'Do not wish to provide'. Individuals with a Graduate Student role must enter at least one degree, and those with a Postdoctoral role must enter a doctoral degree. The profile must also include the name of institution issuing the degree.
RPPR: D. Participants

“…all students and postdocs completing an NIH Commons profile will be required to answer certain questions such as date of birth, gender, race and ethnicity, disabilities, US citizenship status and country of citizenship. Note that questions on gender, disabilities, race and ethnicity must be completed, but one of the acceptable responses is: ‘Do Not Wish to Provide’. This information will help us better understand the diversity of the biomedical workforce receiving NIH-support. The Commons profile also will include, where applicable, information on the individual’s highest educational degree, and where and when it was earned.” Per Sally Rocky blog: http://nexus.od.nih.gov/all/2013/08/02/using-era-commons-to-improve-data-on-the-biomedical-research-workforce/
D.2.b New senior/key personnel.

• Are there, or will there be, new senior/key personnel?
• If yes, upload biosketches and other support for all new senior/key personnel.

What biosketch format should be used in RPPR non-competing progress reports? The new biosketch format announced in NOT-OD-15-032 applies to both competing applications and non-competing progress reports.

Per RPPR FAQs:
http://grants.nih.gov/grants/RPPR/faqs.htm
RPPR: D. Participants: Changes in Other Support

D.2.c Changes in other support.

Has there been a change in the active other support of senior/key personnel since the last reporting period?

If yes, upload active other support for senior/key personnel whose support has changed and indicate what the change has been. List the award for which the progress report is being submitted and include the effort that will be devoted in the next reporting period.

Select Yes only if active support has changed for the PD/PI(s) or senior/key personnel.

If a previously active grant has terminated and/or if a previously pending grant is now active, submit complete Other Support information using the suggested format and instructions found at http://grants.nih.gov/grants/funding/2590/Non-competing_othersupport.docx. Annotate this information so it is clear what has changed from the previous submission.

Submission of other support information is not necessary if support is pending or for changes in the level of effort for active support reported previously.
NEW SENIOR/KEY PERSONNEL (D.2.b)

BENNETT, P.
ACTIVE
Investigator Award (Bennett) 9/1/2009 – 8/31/2014 6.0 calendar
Howard Hughes Medical Institute $581,317
Gene Cloning and Targeting for Neurological Disease Genes
This award supports the PI's program to map and clone the gene(s) implicated in the development of Alzheimer's disease and to target expression of the cloned gene(s) to relevant cells.

5 R01 HG 000000-07 (Daumier) 3/1/2006 – 2/28/2015 3.6 calendar
NIH/NHGRI $196,639
Identification of the Risk Factor Genes for Alzheimer's Disease

The major goals of this project are to identify of new Alzheimer's disease genes and predicting Alzheimer's disease.

(THESE AWARD)
2 R01 HL 000000-14 (Anderson) 3/1/2000 – 2/28/2015 1.2 calendar
NIH/NHLBI $186,529
Chloride and Sodium Transport in Airway Epithelial Cells

OVERLAP No Overlap

RICHARDS, L.
No Other Support
NEW SENIOR/KEY PERSONNEL (D.2.b)

BENNETT, P.

**ACTIVE**

Investigator Award (Bennett)  
Howard Hughes Medical Institute  
Gene Cloning and Targeting for Neurological Disease Genes  
This award supports the PI’s program to map and clone the gene(s) implicated in the development of Alzheimer’s disease and to target expression of the cloned gene(s) to relevant cells.

- 5 R01 HG 000000-07 (Daumier)  
  - NIH/NHGRI  
  - Identification of the Risk Factor Genes for Alzheimer’s Disease  
  - $196,639  
  - 3.6 calendar

- (THIS AWARD)  
  - 2 R01 HL 000000-14 (Anderson)  
  - NIH/NHLBI  
  - Chloride and Sodium Transport in Airway Epithelial Cells  
  - $186,529  
  - 1.2 calendar

**OVERLAP** No Overlap

RICHARDS, L.

No Other Support
RPPR: D. Participants: Other Support

NEW SENIOR/KEY PERSONNEL (D.2.b)

BENNETT, P.
ACTIVE
Investigator Award (Bennett) 9/1/2009 – 8/31/2014 6.0 calendar
Howard Hughes Medical Institute $581,317
Gene Cloning and Targeting for Neurological Disease Genes
This award supports the PI’s program to map and clone the gene(s) implicated in the development of Alzheimer’s disease and to target expression of the cloned gene(s) to relevant cells.

5 R01 HG 000000-07 (Daumier) 3/1/2006 – 2/28/2015 3.6 calendar
NIH/NHGRI $196,639
Identification of the Risk Factor Genes for Alzheimer’s Disease

The major goals of this project are to identify of new Alzheimer’s disease genes and predicting Alzheimer’s disease.

[(THIS AWARD)]
2 R01 HL 000000-14 (Anderson) 3/1/2000 – 2/28/2015 1.2 calendar
NIH/NHLBI $186,529
Chloride and Sodium Transport in Airway Epithelial Cells

OVERLAP No Overlap

RICHARDS, L.
No Other Support
RPPR: D. Participants: Other Support

CHANGES IN OTHER SUPPORT (D.2.c)

ANDERSON, R.R.
ACTIVE

(THIS AWARD)
2 R01 HL 000000-14 (Anderson) 3/1/2000 – 2/28/2015 3.6 calendar
NIH/NHLBI $186,529
Chloride and Sodium Transport in Airway Epithelial Cells

The major goals of this project are to define the biochemistry of chloride and sodium transport in airway epithelial cells and clone the gene(s) involved in transport.

5 R01 HL 00000-04 (Baker) 4/1/2010 – 3/31/2014 1.2 calendar
NIH/NHLBI $122,717
Ion Transport in Lungs

(NEW)
R01 DK000000-01 (Zimmerman) 9/1/2012 – 8/31/2016 1.2 calendar
NIH/NIDDK $187,265
Cystic Fibrosis Related Diabetes and Lung Function

The major goals of this project are to determine how CFRD contributes to lung function decline.

OVERLAP No Overlap

INACTIVE

DCB 950000 (Anderson) 12/01/2008 – 11/30/2011 2.4 calendar
National Science Foundation $82,163
Liposome Membrane Composition and Function
RPPR: D. Participants: Other Support

CHANGES IN OTHER SUPPORT (D.2.c)

ANDERSON, R.R.

ACTIVE

(THIS AWARD)
2 R01 HL 000000-14 (Anderson) 3/1/2000 – 2/28/2015 3.6 calendar
NIH/NHLBI $186,529
Chloride and Sodium Transport in Airway Epithelial Cells

The major goals of this project are to define the biochemistry of chloride and sodium transport in airway epithelial cells and clone the gene(s) involved in transport.

5 R01 HL 000000-04 (Baker) 4/1/2010 – 3/31/2014 1.2 calendar
NIH/NHLBI $122,717
Ion Transport in Lungs

The major goal of this project is to study chloride and sodium transport in normal and diseased lungs.

(NEW)
R01 DK000000-01 (Zimmerman) 9/1/2012 – 8/31/2016 1.2 calendar
NIH/NIDDK $187,265
Cystic Fibrosis Related Diabetes and Lung Function

The major goals of this project are to determine how CFRD contributes to lung function decline.

OVERLAP No Overlap

INACTIVE

DCB 950000 (Anderson) 12/01/2008 – 11/30/2011 2.4 calendar
National Science Foundation $82,163
Liposome Membrane Composition and Function
### Changes in Other Support (D.2.c)

#### ANDERSON, R.R.

**ACTIVE**

**THIS AWARD**
- R01 HL 00000-04 (Anderson)  
  - NIH/NHLBI  
  - Chloride and Sodium Transport in Airway Epithelial Cells  
  - 3.6 calendar  
  - $186,529

The major goals of this project are to define the biochemistry of chloride and sodium transport in airway epithelial cells and clone the gene(s) involved in transport.

**NEW**
- R01 DK00000-01 (Zimmerman)  
  - NIH/NIDDK  
  - Cystic Fibrosis Related Diabetes and Lung Function  
  - 9/1/2012 – 8/31/2016  
  - 1.2 calendar  
  - $187,265

The major goals of this project are to determine how CFRD contributes to lung function decline.

**OVERLAP** No Overlap

**INACTIVE**
- DCB 950000 (Anderson)  
  - National Science Foundation  
  - Liposome Membrane Composition and Function  
  - 12/01/2008 – 11/30/2011  
  - 2.4 calendar  
  - $82,163
### CHANGES IN OTHER SUPPORT (D.2.c)

**ANDERSON, R.R.**  
**ACTIVE**

<table>
<thead>
<tr>
<th>(THIS AWARD)</th>
<th>(3/1/2000 – 2/28/2015)</th>
<th>3.6 calendar</th>
</tr>
</thead>
<tbody>
<tr>
<td>2 R01 HL 000000-14 (Anderson)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>NIH/NHLBI</td>
<td>$186,529</td>
<td></td>
</tr>
</tbody>
</table>

Chloride and Sodium Transport in Airway Epithelial Cells

The major goals of this project are to define the biochemistry of chloride and sodium transport in airway epithelial cells and clone the gene(s) involved in transport.

<table>
<thead>
<tr>
<th>5 R01 HL 000000-04 (Baker)</th>
<th>4/1/2010 – 3/31/2014</th>
<th>1.2 calendar</th>
</tr>
</thead>
<tbody>
<tr>
<td>NIH/NHLBI</td>
<td>$122,717</td>
<td></td>
</tr>
</tbody>
</table>

Ion Transport in Lungs

The major goal of this project is to study chloride and sodium transport in normal and diseased lungs.

<table>
<thead>
<tr>
<th>(NEW)</th>
<th>(9/1/2012 – 8/31/2016)</th>
<th>1.2 calendar</th>
</tr>
</thead>
<tbody>
<tr>
<td>R01 DK000000-01 (Zimmerman)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>NIH/NIDDK</td>
<td>$187,265</td>
<td></td>
</tr>
</tbody>
</table>

Cystic Fibrosis Related Diabetes and Lung Function

The major goals of this project are to determine how CFRD contributes to lung function decline.

**OVERLAP No Overlap**

**INACTIVE**

<table>
<thead>
<tr>
<th>DCB 950000 (Anderson)</th>
<th>12/01/2008 – 11/30/2011</th>
<th>2.4 calendar</th>
</tr>
</thead>
<tbody>
<tr>
<td>National Science Foundation</td>
<td></td>
<td>$82,163</td>
</tr>
<tr>
<td>Liposome Membrane Composition and Function</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
CHANGES IN OTHER SUPPORT (D.2.c)

ANDERSON, R.R.
ACTIVE

(This Award)
2 R01 HL 000000-14 (Anderson) 3/1/2000 – 2/28/2015 3.6 calendar
NIH/NHLBI $186,529
Chloride and Sodium Transport in Airway Epithelial Cells

The major goals of this project are to define the biochemistry of chloride and sodium transport in airway epithelial cells and clone the gene(s) involved in transport.

5 R01 HL 000000-04 (Baker) 4/1/2010 – 3/31/2014 1.2 calendar
NIH/NHLBI $122,717
Ion Transport in Lungs

The major goal of this project is to study chloride and sodium transport in normal and diseased lungs.

(NEW)
R01 DK000000-01 (Zimmerman) 9/1/2012 – 8/31/2016 1.2 calendar
NIH/NIDDK $187,265
Cystic Fibrosis Related Diabetes and Lung Function

The major goals of this project are to determine how CFRD contributes to lung function decline.

OVERLAP No Overlap

INACTIVE

DCB 950000 (Anderson) 12/01/2008 – 11/30/2011 2.4 calendar
National Science Foundation $82,163
Liposome Membrane Composition and Function
RPPR: Subcontract Documentation

See SR-PD website for requirements:

• **Subaward documents for progress reports**
  – RU is the prime

• **Subaward documents for progress reports**
  – RU is the sub
Resources available:
http://grants.nih.gov/grants/rppr/index.htm#resources
RPPR FAQs:
http://grants.nih.gov/grants/RPPR/faqs.htm
Sally Rocky Blog:
http://nexus.od.nih.gov/all/2013/08/02/using-era-commons-to-improve-data-on-the-biomedical-research-workforce/
Other Support Format:
Subgrant Documentation Updates

Jim F. Keller
Grants Management Specialist
Non-Competing Subaward Documents

- Subaward Agreement updated to reflect receipt 90 days prior to project period end date
- ResAdmins obtain subrecipient’s required documents early

New Subgrant Documents

- **Checklist** to Determine Subrecipient or Contractor Classification

- **Subrecipient Commitment Form**
Checklist to Determine Subrecipient or Contractor Classification

- Assists in determining the relationship between Rockefeller PI and the collaborating institution’s investigator

- Provided by Rockefeller PI along with complete submission materials package
Subrecipient Commitment Form

- Provided by Subrecipient to Rockefeller PI to include with other required subdocuments

New 5 Page Biosketch

Betty (Mei-Ki) Chan
Grants Management Specialist
The National Institutes of Health (NIH) and the Agency for Health Research and Quality (AHRQ) require the new biosketch format (NOT-OD-15-032) for all competing and non-competing applications submitted for due dates on or after May 25, 2015.
New Biosketch format

Highlight summary:

• 5 pages instead of 4 pages limit
• Revision of Section A (Personal statement) to provide more details about the applicant qualification.
• Revision of Section C—”Contribution to Science” instead of “Selected Peer-reviewed Publications
• What is new in Section C: Describe up to the applicant's 5 most significant contribution to science and provide up to 4 references for each contribution.
• Section B (Positions and Honors) and Section D (Research Support) remains the same
New added Instruction: The relevant factors may include aspects of your training; your previous experimental work on this specific topic or related topics; your technical expertise; your collaborators or scientific environment; and your past performance in this or related fields (you may mention specific contributions to science that are not included in Section C). Also, you may identify up to four peer reviewed publications that specifically highlight your experience and qualifications for this project.
Complete Makeover: Section C

- Briefly describe up to 5 of your most significant contributions to science.

- For each contribution:
  - Indicate the historical background that frames the scientific problem; the central finding(s); the influence of the finding(s) on the progress of science or the application of those finding(s) to health or technology; and your specific role in the described work.
  - Reference up to 4 peer-reviewed publications or other non-publication research products that are relevant to the described contribution.
  - Format: The description of each contribution should be no longer than one half page including figures and citations.

- Provide a URL to a full list of your published work as found in a publicly available digital database such as SciENcv or My Bibliography. (optional)

- See FAQ
New Biosketch Sample

SPONSORED RESEARCH AND PROGRAM DEVELOPMENT
www.rockefeller.edu/sr-pd/

A. Personal Statement
I have the expertise, leadership, training, expertise and motivation necessary to successfully carry out the proposed research project. I have a broad background in psychology, with specific training and expertise in ethnographic and survey research and secondary data analysis on psychological aspects of drug addiction. My research includes neuropsychological changes associated with addiction. As PI or co-investigator of several university- and NIH-funded grants, I laid the groundwork for the proposed research by developing effective measures of stability, depression and social factors related to the aging substance abuser, and by establishing strong ties with community providers that will make it possible to recruit and track participants over time as documented in the following publications:


B. Positions and Honors
Positions and Employment
2001- Consultant, Coastal Psychological Services, San Francisco, CA
2002-2005, Assistant Professor, Department of Psychology, Washington University, St. Louis, MO
2007- Associate Professor, Department of Psychology, Washington University, St. Louis, MO

Other Experience and Professional Memberships
2003- Board of Advisors, Senior Services of Eastern Missouri
2005-2006, NIH Peer Review Committee: Psychology of Aging, ad hoc reviewer
2007-11, NIH IRAC, Adult Addictions Study Section, Members

Honors
2003- Outstanding Young Faculty Award, Washington University, St. Louis, MO
2004- Excellence in Teaching, Washington University, St. Louis, MO
2009- Award for Best in Interdisciplinary Ethnography, International Ethnographic Society

C. Contribution to Science
1. My early publications directly addressed the fact that substance abuse is often overlooked in older adults. However, because many older adults were raised during an era of increased drug and alcohol use, there are reasons to believe that this will become an increasing issue as the population ages. These publications found that older adults appear in a variety of primary care settings, or seek mental health providers to deal with emerging addiction problems. These publications document this emerging problem but guide primary care providers and mental health providers to recognize symptoms, assess the nature of the problem and apply the necessary interventions.

2. In addition to the contributions described above, with a team of collaborators, I am currently the chief investigator for a multi-year, multi-site study examining the effectiveness of various intervention models for older substance abusers and demonstrating the effectiveness of social support networks. These studies emphasize the role of social and educational factors in the prevention of violence and substance abuse problems.

3. Methadone maintenance has been used to treat narcotics addicts for many years but led to research that has shown that over time those in methadone treatment view themselves negatively and they gradually begin to view treatment as an infringement into normal life. Elderly narcotics users were shown in carefully conducted ethnographic studies to be especially responsive to tailored social support networks that allow them to gradually reduce their maintenance doses and move into other forms of therapy.

Complete List of Published Works:

D. Research Support
Ongoing Research Support
R01 DA13207, Hunt (PI)
09/12/00-08/31/01
Health trajectories and behavioral interventions among older substance abusers
The goal of this study is to compare the effects of two substance abuse interventions on health outcomes in an urban population of older opiate addicts.
Role: PI

Completed Research Support
R21 AA083075, Hunt (PI)
01/01/11-12/31/13
Community-based intervention for alcohol abuse
The goal of this project was to assess a community-based strategy for reducing alcohol abuse among older individuals.
Role: PI
SciENcv

Science Experts Network Curriculum Vitae (SciENcv):

• It is an electronic system design to create biosketches needed for participation in federal research funds

• It is a free electronic tool access via My NCBI
  ➢ It can create and store biosketches and automatically format your CV into new NIH requirement:
  ➢ Connecting to ERA Commons allows profile information, education, and training experience into SciENcv
  ➢ Directly link to user publications input into citation (with PMCID)
  ➢ User has full control of the data (can be made public or private)
  ➢ Delegation allow for assigned personnel to access on your behalf
  ➢ Currently supports NSF and NIH biosketch

• Video Tutorial: https://www.youtube.com/watch?v=PRWy-3GXhtU&feature=youtu.be
Questions?